

Internal Validation of PowerPlex[®] 16 HS with the Applied Biosystems[®] 3500xL Genetic Analyzer

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Abstract

An internal validation is performed in order to demonstrate reliability and reproducibility of new instruments and chemistries within a specific laboratory. These studies show that results are accurate and consistent with previous methods. The validation study performed at the Philadelphia Police Department Forensic Science Bureau (PPD) demonstrated the reliability of the PowerPlex[®] 16 HS amplification chemistry and the use of the Applied Biosystems[®] 3500xL to provide accurate and reliable data. The chemistry and instrument are both novel technologies and platforms to the PPD DNA laboratory, thus requiring an internal validation to be performed prior to use with casework samples.

A variety of studies were performed in this validation in order to demonstrate the reliability of the use of PowerPlex[®] 16 HS with the Applied Biosystems[®] 3500xL Genetic Analyzer. These studies consisted of injection voltage and time, DNA target, analytical threshold, stochastic threshold, precision, sensitivity, concordance, reproducibility, stutter, mixture, heterozygote peak heights, and non-probative casework samples. All studies were analyzed using Applied Biosystems[®] GeneMapper *ID-X* v1.2. Each of these studies demonstrated the proper settings to optimize the use of the amplification chemistry on the genetic analyzer. Overall, this validation demonstrated that consistent and reliable results could be obtained through the use of PowerPlex[®] 16 HS with the AppliedBiosystems[®] 3500xL Genetic Analyzer.

Introduction

An important aspect of forensic science is the need to validate new chemistries and instrumentation for use with STR analysis. An internal validation must be performed on these new methods and technologies prior to implementation in laboratory standard operating

procedures. This validation must demonstrate the ability of the procedure to obtain reliable results, the ideal conditions to obtain these results, and the limitations of this new procedure (4). An internal validation was requested for the Philadelphia Police Forensic Science Bureau through the National Institute of Justice's Technical Assistance Program on the use of PowerPlex[®] 16 HS amplification chemistry (Promega Corporation, Madison, Wisconsin) with the Applied Biosystems[®] (Foster City, California) 3500xL Genetic Analyzer. The Applied Biosystems[®] 3500 Genetic Analyzer is a relatively new platform to the field of forensics and offers many advantages to the previously used Applied Biosystems[®] 3130xL such as an improved mechanical pump, new laser technology, more consistent temperature control, prepackaged consumables, and reduced power requirements (5). Data files are also saved in .HID format and analyzed with GeneMapper[®] ID-X (Applied Biosystems). In addition to these advancements, the Applied Biosystems[®] 3500xL offers increased throughput with the ability to perform an injection of 24 samples. The PowerPlex[®] 16 HS amplification chemistry, released in 2009, offers an advantage over the previously used PowerPlex[®] 16 kit; the addition of hot-start *Taq* directly to the mastermix. This eliminated the need to purchase the *Taq* reagent separately (7). PowerPlex[®] 16 HS also offers the ability to perform direct amplification procedures on known samples, as well as an increased ability to perform in the presence of inhibitors.

Due to the difference in manufacturer between the amplification chemistry and instrument, adjustments are required prior to performing analysis, including matrix calibrations and the creation of the appropriate size standards. The appropriate dye set was confirmed through a spectral calibration with the Promega 4 dye set, and the 60- 600 base pair size standard set was entered into the instrument protocol (6). These procedures are necessary to perform prior to performing the necessary validation studies.

This internal validation incorporates a variety of studies to demonstrate the reliability and reproducibility of this instrument with the PowerPlex[®] 16 HS chemistry. These studies include the determination of an appropriate analytical threshold through SWGDAM approved methods, a stochastic threshold, an injection time and voltage which provided optimal peak heights and peak height ratios, a sensitivity range to provide the optimal input amount of target DNA, heterozygosity ratios, and stutter percentages for comparison to manufacturer recommended values (7). Studies will be run simultaneously on both the 3130xL and 3500xL instruments for the duration of the validation study. The results from the 3500xL will be compared with data

collected from the 3130xL to demonstrate concordance. Results collected for various other studies will also be compared between the two platforms including stutter ratios and mixture interpretations. Samples also will be analyzed to demonstrate reproducibility across multiple amplifications and runs and compared with the expected results from previously analyzed non-probative casework samples. These non-probative casework samples encompass various extraction methods and substrates typically encountered in the Philadelphia Police Forensic Science Bureau. The comparison of these results is meant to establish instrument and chemistry concordance.

Materials and Methods

The studies performed during the validation of the Applied Biosystems® 3500xL Genetic Analyzer using PowerPlex 16® HS used extracts previously obtained from reference samples as well as previously analyzed casework samples. These sample extracts were stored at 4°C and were considered non-probative. Prior to use, all extracts were quantitated by a PPD analyst to determine the proper concentration necessary for amplification procedures. All data was analyzed using GeneMapper® ID-X version 1.2. The profiles generated were compared to profiles previously obtained by analysts using PowerPlex® 16 on the Applied Biosystems® 3130xL.

Analytical Threshold, Injection Time/Voltage, Target, Stochastic Threshold Study

An initial analytical threshold, injection time and voltage, and DNA target study were performed in order to determine the ideal DNA target load to inject at a specific injection voltage and time to produce reliable results which limit artifacts and stochastic events. For this study a dilution series was created from a previously analyzed non-probative reference sample, ranging between 10ng and 0.0156ng. This dilution series was run in triplicate with each injection containing the dilution series, three 2800M positive controls, three allelic ladders, and a row of amplification negative controls. Each sample was setup in triplicate and injected under the following conditions: injection of 24 seconds and 1.2 kV (instrument default setting), 12 seconds and 1.2 kV, 10 seconds and 3 kV, and 5 seconds and 3 kV. The range of injection voltages and injection times were used to determine the ideal injection parameters for the 3500xL and the ideal DNA target load to inject at the decided voltage and time.

An analytical threshold is necessary to determine at what level a true peak can be differentiated from background noise. The analytical threshold was calculated by analyzing a run of 24 amplification negative controls (3 rows). Each row was generated from each of the three amplification negative controls included in the amplification of the target load and injection time study. Prior to analysis, an analytical threshold of 1 RFU was selected for all dyes to allow for the calling of “background noise” above this threshold (8). Called peaks were then exported to an Excel spreadsheet. Peaks below a base pair size of 90 were removed to prevent interference from the primer peak (out of marker peaks) as well as all peaks plus or minus two base pairs from the size standard peaks. These peaks were removed to prevent the interference of “pullup” or raised baseline within the background noise levels from these size standard peaks. A variety of methods for calculating the analytical threshold were utilized.

A stochastic threshold level is calculated to determine above what RFU level a homozygote peak can be identified without the consideration of dropout of a heterozygote sister allele. The stochastic threshold was calculated from the target study dilution series data at 24 sec / 1.2 kV (the selected injection voltage and time). This calculation consisted of finding the average peak height ratio for all dye channels and each individual channel and performing the calculation previously used by Sorenson Forensics for the validation of PowerPlex[®] 16 for the PPD.

Precision Study

A precision study was performed to demonstrate the reliability and degree of precision of the allele size calling. This study was performed on three consecutive days with a new plate prepared each day containing three rows of allelic ladders (24 samples). The plate prepared on day one (June 12, 2012) was ran on day 2 (June 13, 2012) and day 3 (June 14, 2012) to ensure precise size calling when a plate is stored for multiple days after a capillary electrophoresis run. This practice was consistent with PPD standard operating procedures in which a plate can be stored and re-ran within a three day time period. A newly prepared plate was run on June 12 and June 13 as well, also containing three rows of allelic ladder. Prior to exporting the size calling data, one sample was set to allelic ladder and the remaining samples were designated as samples.

Sensitivity Study

A sensitivity study was performed to determine the ideal target DNA load within the recommended range determined by the Target study. Thus, a target dilution series between 0.125 ng and 1.5 ng was created to accurately account for the multitude of amplification targets within the 0.5 to 1.0 ng range. The sensitivity study consisted of analyzing a dilution series between 0.30ng/μl and 0.025ng/μl. These dilutions were created to target 0.125ng, 0.25ng, 0.5ng, 0.75ng, 1.0ng, 1.25ng, and 1.5ng prior to amplification. The same sample utilized for the target, injection time, analytical threshold, and stochastic threshold study was also used for this study. This dilution series was created to more accurately select an optimal concentration range for amplification. Dilutions were quantitated individually with Promega's Plexor[®] HY System prior to amplification to ensure that dilutions were consistent with the expected concentration values. The dilution samples were run in triplicate creating two injections consisting of 21 samples (seven samples in the dilution series loaded in triplicate), two amplification positive controls (2800M), two amplification negative controls, and three allelic ladders. This data was analyzed, input into an Excel table, and appropriate target recommendations were made based on these results.

Peak Height and Heterozygosity Study

According to PPD standard operating procedures the peak height ratio between two peaks at a locus must be greater than 50% to call those peaks heterozygote sister alleles. For the heterozygosity/peak height ratio study the average, standard deviation, maximum, minimum, and range of the peak height ratios for the sensitivity study were reanalyzed to further confirm the selection of the 1.0 - 0.5 ng range. Average peak heights were expected to be well above the 50% cutoff value for heterozygote alleles within a single source profile.

Concordance, Reproducibility, and NIST Study

A reproducibility study is performed to evaluate a technique performed repeatedly to assess the reproducibility of the method while a concordance study provides an evaluation of a technique performed by a different analyst or piece of equipment to demonstrate the reproducibility of results. Seventeen previously analyzed reference samples were diluted and reamplified with PowerPlex[®] 16 HS. The results of these samples previously ran with

PowerPlex[®] 16 on the 3130xL were compared to the PowerPlex[®] 16 HS results for the concordance study. The amplified products were also run on both the 3130xL and the 3500xL. Data was exported to an Excel spread sheet where allele calls were compared for consistency. Results were compared for concordance, comparing allele calls between instruments.

The reproducibility study consisted of reamplifying the samples from the concordance study (three amplifications) and running these samples in separate runs on the 3500xL. Dilutions were prepared from each extract and a DNA target of 0.5ng was loaded for each of the three amplification procedures. The runs were performed on June 28, June 29, and July 3, 2012. Allele calls and peak heights between runs were compared for reproducibility and calculations were compared to identify precision.

The concordance and reproducibility study also contained three genomic NIST samples. The profiles generated with these samples were compared to the expected profiles provided by the NIST literature.

Contamination Study

A contamination study was prepared along with the non-probative casework sample study. The purpose of the study was to determine if contamination occurred due to crossover from the capillaries during sequential injections, as well as contamination during the preparation of a plate for capillary electrophoresis. This study was prepared in concordance with the Promega recommended validation guidelines (2). The plate setup was a checkerboard pattern across a 96 well plate with the samples used for the non-probative casework study along with run negative controls. The plate was set up in this configuration to allow for a capillary which previously injected a sample to perform a sequential injection in a run negative control. Only Negative controls were analyzed using GeneMapper[®] ID-X and the presence of possible contamination was evaluated.

Stutter Study

A stutter study was performed to indicate the appropriate stutter ratios to recommend for future analysis using PowerPlex[®] 16 HS on the Applied Biosystems[®] 3500xL. This study consisted of 53 single source reference profiles, each diluted to a target of 0.5ng. Samples were analyzed using GeneMapper[®] ID-X with all artifacts removed except for stutter peaks. Positive

and negative stutter peaks were labeled as stutter as well as an indication of the allele from which they originated. Data was exported to an Excel workbook and separated according to marker. The peak height ratio, peak height ratio standard deviation, maximum peak height ratio, and minimum peak height ratio were then calculated within each marker. The recommended stutter ratio for each specific marker was then calculated by adding the average peak height ratio to three times the standard deviation. These recommended stutter ratios were then entered into an Excel table and compared to Promega recommended stutter ratios. Due to the fact that positive stutter ratios from Promega were not obtained, the values calculated for in the study were input directly into GeneMapper® *ID-X* for future analysis.

Mixture Study

A mixture study was performed in concordance with the Promega recommended validation guidelines (2). The mixture study consisted of two male reference samples added in different ratios. The selected ratios were 1:0, 4:1, 9:1, 19:1, 1:1, 1:4, 1:9, 1:19, and 0:1. These ratios were utilized to determine the lowest ratio at which a minor contributor could be differentiated from a full major profile. Mixture samples were amplified with the appropriate target determined in previous studies (0.5ng) and ran on the 3500xL. Samples were analyzed using GeneMapper® *ID-X* and major and minor alleles were designated when possible. These allele designations consisted of the use of a 60% peak height ratio cutoff to determine the presence of “light” alleles. This cutoff value is incorporated in the standard operating procedures of the Philadelphia Police Forensic Science Bureau DNA Laboratory in the analysis of possible mixture samples.

Non- Probative Casework Samples Study

Non-probative questioned samples which were part of the same cases as the previously used reference samples were used to determine concordance with previously analyzed data. This study was meant to simulate casework procedures with selected settings and recommendations created during the previous validation studies. A quantitation with Plexor® HY was performed on the samples prior to amplification. The samples were then run in a checkerboard pattern with run negative controls to serve as the contamination study. All samples were analyzed in GeneMapper® *ID-X* and allele calls were made, designating both major and “light” alleles. This

data was compared to the results submitted for previous analysis of the samples using PowerPlex[®] 16 on the 3130xL.

Results

Analytical Threshold, Injection Time/Voltage, Target, Stochastic Threshold Study

Initial data analysis determined that the run time of 1210 seconds (default on the 3500xL) was not sufficient due to the 600 base pair size standard peak consistently being cutoff. The absence of this peak did not allow for accurate size calling and allele designation. The run was repeated with a run time of 1800 seconds in the instrument protocol. Data was analyzed and it was determined that the increased run time allowed for the 600 base pair peak to be detected, thus allowing for all peaks to be detected within the size standard and allow for accurate size calling and allele designation.

The dilution series samples for the target study and injection time and voltage study were analyzed with a 20% filter and a 150 RFU analytical threshold. The results of the injections created using the 24 sec/1.2 kV, 12 sec/1.2 kV, 5 sec/3 kV, and 10 sec/3 kV parameters were analyzed, all stutter peaks were removed, and peak heights and allele calls were exported to an Excel table. The average peak height, peak height standard deviation, average peak height ratio, and average peak height ratio standard deviation were calculated (**Figure 1**). Dropout was seen to occur in the 0.125ng dilution. All peak height ratios were found to be within the appropriate range for a single source profile (greater than 50%). An ideal standard deviation for peak height ratios was calculated for the 1.0ng, 0.5ng, and 0.25 ng targets. The minimum peak height ratio standard deviation occurred during the 24 sec/1.2 kV injection. The peak heights and peak height ratios within the 1.0 ng, 0.5 ng, and 0.25 ng targets were analyzed due to laboratory expectations based on the previous use of PowerPlex[®] 16. Based on the results of this study, a target between 1.0 ng and 0.25 ng was further analyzed in the sensitivity study to determine an ideal target for amplification. Based on the average peak height ratio standard deviations, an injection voltage of 24 seconds and 1.2 kV was selected for the remaining validation studies.

The analytical threshold study determined the average baseline noise when an amplification negative was run on the 3500xL. The method which provided the analytical threshold relied upon for the remainder of the validation studies consisted of the following equation:

$$AT = 2(\text{Maximum peak height} - \text{Minimum peak height})$$

The analytical threshold was calculated for each dye channel and the optimal analytical threshold was selected based on these calculations (**Figure 2**). The maximum calculated analytical threshold was found in the blue dye channel (104 RFU). Based on this value, an analytical threshold value of 125 RFU was set for remaining studies. This conservative value prevents background noise interference when determining true peaks.

The determination of a stochastic threshold occurred through the use of the target study peak height data as well as the determined analytical threshold. A method previously utilized by the PPD Forensic DNA Laboratory calculated the stochastic threshold using the following equation:

The stochastic threshold was individually calculated for each dye channel (**Figure 3**). It was determined that a single stochastic threshold should be used across all dye channels. The highest calculated stochastic threshold value was found in the green dye channel (352.17 RFU). Based on this value, a stochastic threshold of 360 RFU was set for remaining validation studies across all dye channels.

Precision Study

The determination of precise allele size calling was confirmed in the precision study. A 20% global cut-off was used for this study. Prior to the samples being exported, a microvariant off ladder was observed in FGA in the samples and was called a 30.2. This artifact was 426.65 base pairs in size and a height of 1205 RFU. This microvariant was not observed in the allelic ladder and was removed prior to being exported. A spike/ pull up was seen in one of the Run1C samples from capillary 11. The 23 in FGA of the sample containing the spike was engulfed and thus could not be manually entered. The size of this allele was not included in calculations. All allele calls were checked for accuracy and the average size, standard deviation of sizes, maximum size, minimum size, and range of sizes was calculated for each allele within each marker across all three of the injections performed. However the precision calculations were only considered for samples falling into the selected 24 second and 1.2 kV injection category. Through the use of this combined data, the average standard deviation of sizes was found to be

0.0539 base pairs with a standard deviation range of 0.03-0.11 base pairs. Based on these calculations, it can be confirmed that the standard deviation was within the acceptable 0.15 base pair window. The average of the range of base pair sizes for each allele was also calculated at 0.24 base pairs. This average was within the acceptable 0.5 base pair window. However, a range of 0.55 base pairs was found at 46.2 in FGA, the only value greater than the acceptable 0.50 base pair window.

Sensitivity Study

A sensitivity study was performed to determine the ideal amplification target to use with the PowerPlex[®] 16 HS amplification chemistry and the 3500xL. The resulting peak heights from a run of each of the amplification targets on the 3500xL were analyzed and exported to an Excel table. From these peak heights the minimum, maximum, average peak height ratio, peak height ratio standard deviation, average peak height, and peak height standard deviation were calculated (**Figure 4**). Homozygote peak heights were divided by two, with this value being used for average peak heights but not included within the average peak height ratio calculation. Based on the results of this study the 0.5 to 1.0ng target range was once again found to be ideal due to moderate peak heights, an acceptable average peak height ratio and peak height ratio standard deviation. The samples with amplification targets of 0.25 and 0.125 were found to contain peak height ratios below 50%. Peak height ratios must be above 50% in single source profiles to be designated as a major allele according to PPD standard operating procedures. It was determined that a DNA target of 0.5 ng would be utilized for remaining studies.

Peak Heights and Heterozygosity Study

The sensitivity study samples were further analyzed to indicate an appropriate range where consistent peak height ratios were obtained above 50%. A peak height ratio above 50% is relied upon by the PPD to determine a heterozygote locus within a single source sample. The compiled peak height ratio average, standard deviation, maximum, minimum, and range for each DNA concentration sample within the chosen sensitivity range can be seen in **Figure 5**. Peak height ratios below 50% began in the 0.25 ng target samples. All loci within the sensitivity range of 0.5 – 1 ng of target DNA had peak height ratios above 80%, well above the required 50% peak height ratio for determination of a major contributor in a single source profile.

Concordance, Reproducibility, NIST Study

The concordance and reproducibility studies were performed to demonstrate both the reproducibility of results from multiple amplifications and runs on separate days as well as the consistency of the data collected between different instruments and amplification chemistries. The concordance study consisted of the comparison of 17 reference samples; these samples were amplified and ran on both the 3500xL as well as the 3130xL. Allele calls were seen to be consistent for both instruments. The allele calls generated with the 3500xL were also compared to previously obtained data using the seventeen samples amplified with PowerPlex[®] 16 and ran on the 3130xL. Allele calls proved to be consistent between both runs and across the two amplification chemistries ran on different platforms.

The reproducibility study consisted of the comparison of allele calls, peak heights for each called allele, average peak heights across all runs, minimum peaks heights, and maximum peak heights between multiple amplifications and runs on three separate days. The data was exported to Excel and a table was created for each sample (**Figure 6**). All allele calls were seen to be consistent between the multiple amplifications and runs performed in this study. NIST samples were also amplified and run in the reproducibility and concordance study. NIST profiles were found to be consistent with expected allele calls as indicated in NIST reference material.

Contamination Study

Run negative controls which were run in a checkerboard pattern with the non-probative caseworks study samples were analyzed for contamination. These samples were analyzed for the presence of peaks or other factors within baseline noise levels that would indicate the presence of contamination from either the capillary or the run set-up procedure. The negative controls analyzed did not display contamination and no peaks were indicated.

Stutter Study

The average, standard deviation, minimum, and maximum peak height ratios were calculated for the stutter values obtained within each marker of the 53 analyzed reference samples. These values were then utilized to calculate the stutter value for the specific marker through the use of the following equation:

$$\text{Stutter} = 3 \times \text{Stutter Ratio Std. Dev.} + \text{Average Stutter Ratio}$$

Calculations were performed for both plus 4 stutter and minus 4 stutter. The calculated stutter values were then entered into a table to assist in the comparison of these values to the provided Promega stutter values (**Figure 7** and **Figure 8**). The obtained minus 4 values were found to be consistent with the Promega values calculated in the PowerPlex[®] 16 HS validation. The values obtained by the PPD followed the same general trend as the Promega minus 4 values (**Figure 9**). Due to the small degree of variability between the PPD values and Promega values, it was determined that the Promega minus 4 stutter values would be used for future analysis using PowerPlex[®] 16 HS on the 3500xL. Plus 4 stutter values would be used for future analysis due to the fact that plus 4 stutter values are not available for reference.

Mixture Study

A mixture study was conducted through the analysis of two contributor samples in various concentrations as well as a three contributor mixture and a five contributor mixture. Results were analyzed and major and minor components were indicated for each mixture sample (**Figure 10** and **Figure 11**). Full major component profiles were able to be pulled out in both the 19:1 and 1:19 mixture samples with very few light alleles detected. The majority of the alleles from the major contributor were also detected in the 9:1 and 1:9 ratio samples with only two markers (Penta E and Penta D) not containing a full major profile. An increasing frequency of light alleles were also detected in the 9:1 and 1:9 ratio samples with all markers containing a light allele except five loci (Penta E, CSF1PO, D8S1179, D21S11, and FGA). The 4:1 and 1:4 samples consisted of both the majority of alleles from the major contributor with only seven markers not containing a full major profile. Also, a majority of the light alleles from the minor component were also called except for two markers which did not contain light alleles. The 1:1 sample contained alleles from both contributors and a major contributor could not be determined for any markers other than TH01 and D13S317. A 4:1 two contributor mixture is the apparent optimal mixture to observe both contributors, above this ratio the major contributor is more apparent and less of the minor contributor or light alleles are detected.

The three person mixture contained a major allele in TH01, D21S11, D18S51, D13S317, and D7S820 (**Figure 11**). Major alleles were detected in each locus of the 5 person contributor except the Penta_D and vWA markers. No full major contributor profile could be detected at

either the three or five contributor mixture samples. This result was expected for mixtures of this type due to the fact that samples were added in a 1:1 ratio.

Non-Probativ e Casework Samples Study

The results of the non-Probativ e study were analyzed, removing all raised stutter and artifacts. Analysis settings and stutter ratios determined in previous studies were relied upon for analysis of results (125 RFU analytical threshold, 360 RFU stochastic threshold, and 0.5 ng amplification target). Alleles were assigned as major or minor based on the apparent presence or absence of a mixture. The standard 60% peak height ratio was utilized to assign major alleles in apparent mixtures while a 50% peak height ratio was utilized to determine heterozygote sister alleles in an apparent single source profile. Allele calls were exported to a table to assist in comparison (**Figure 12**). Allele calls were compared between samples which were run in duplicate. The obtained profiles were found to be consistent with previously obtained results. These results were previously obtained through the use of PowerPlex[®] 16 and the 3130xL.

Discussion

The PowerPlex[®] 16 HS amplification chemistry was found to produce reliable and reproducible results with the use of the Applied Biosystems[®] 3500xL Genetic Analyzer. The reliability and reproducibility of the incorporation of this platform and chemistry are based on the settings and recommendations set forth by the validation studies. The internal validation study determined that an ideal injection load of 1.0 ng, 0.5 ng, and 0.25 ng corresponds with an injection voltage and time of 1.2 kV and 24 seconds. This amplification load was further investigated in the sensitivity study which indicated the ideal target range to be between 0.5 ng and 0.10 ng. These amplification targets were found to produce peak height ratios above the required 50% peak height ratio cut-off for a single source profile. An analytical threshold of 125 RFU and a stochastic threshold of 360 RFU were also determined using the selected injection voltage and time. These settings were used for the remaining validation studies and incorporated in the analysis methods for use with GeneMapper[®] ID-X v1.2.

The performed validation studies also demonstrated consistent and reliable size calling of alleles within each marker, the absence of contamination from both the run set-up and capillary carry over, and concordance between samples previously analyzed using PowerPlex[®] 16 on the

3130xL as well as the same PowerPlex16[®] 16 HS run setup run on the 3130xL. Reproducibility was also demonstrated between three separate days with separate amplifications and runs being performed on each of these days. Stutter ratios were calculated and compared to the values recommended by Promega. It was determined that the Promega recommended stutter values would be used for the remaining studies. Also, a mixture study determined that a two contributor mixture sample in a 1:19 ratio contained a major contributor which could be completely identified. Samples with a concentration of 1:9 and 1:4 contained identifiable major and minor contributors. No full major profile could be determined in the three and five contributor mixture samples.

Finally, a non-probative study was performed at the conclusion of the validation which incorporated the settings and recommendations from all previous validation studies. The samples used in this study were previously analyzed and represented a wide array of sample types encountered by the PPD. The results of this study were found to be consistent with the results previously obtained.

Conclusion

Overall, the instrument provided accurate profiles with few artifacts across a wide range of input target DNA amounts. The results of the performed validation studies demonstrated the robustness and reliability of the kit and instrument. Based on the findings of these studies, specific settings were recommended to be incorporated into the standard operating procedure of the Philadelphia Police Forensic Science Bureau DNA Laboratory. These settings included a set analytical threshold across all dye channels, a stochastic threshold value to assist in the determination of true homozygote peaks, an optimal target DNA range, laboratory specific stutter ratios, and mixture interpretation guidelines. Future studies may be necessary to further confirm stutter ratios. Through the demonstration of these settings and recommendations it was determined that the PowerPlex[®] 16 HS amplification chemistry and the use of the Applied Biosystems[®] 3500xL Genetic Analyzer could be used to produce accurate and reliable genotypes. The use of this amplification chemistry and instrument is recommended for use with future casework samples to increase both sensitivity and throughput.

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Figures

| 1 ng/μL | sec / kV | Avg. Ht. | Pk. Ht. Std. Dev. | Avg. PHR | PHR Std. Dev. |
|----------------------------------|-----------------|-----------------|--------------------------|-----------------|----------------------|
| | 24 sec / 1.2 kV | 4144.936 | 1239.278 | 0.792 | 0.078 |
| | 12 sec / 1.2 kV | 1946.500 | 584.562 | 0.766 | 0.147 |
| | 10 sec / 3 kV | 4134.064 | 1218.391 | 0.792 | 0.217 |
| | 5 sec / 3 kV | 2083.256 | 615.286 | 0.797 | 0.078 |
| 0.5 ng/μL | sec / kV | Avg. Ht. | Pk. Ht. Std. Dev. | Avg. PHR | PHR Std. Dev. |
| | 24 sec / 1.2 kV | 1688.808 | 510.221 | 0.753 | 0.088 |
| | 12 sec / 1.2 kV | 792.654 | 248.531 | 0.754 | 0.090 |
| | 10 sec / 3 kV | 1598.590 | 525.729 | 0.700 | 0.212 |
| | 5 sec / 3 kV | 834.295 | 257.651 | 0.754 | 0.089 |
| 0.25 ng/μL | sec / kV | Avg. Ht. | Pk. Ht. Std. Dev. | Avg. PHR | PHR Std. Dev. |
| | 24 sec / 1.2 kV | 920.974 | 342.673 | 0.748 | 0.156 |
| | 12 sec / 1.2 kV | 435.038 | 176.043 | 0.749 | 0.156 |
| | 10 sec / 3 kV | 961.449 | 369.443 | 0.695 | 0.244 |
| | 5 sec / 3 kV | 460.423 | 198.198 | 0.730 | 0.192 |

Figure 1: Average peak heights, peak height standard deviations, average peak height ratios, and peak height ratio standard deviations for the DNA target and injection time/voltage study. Targets were selected for analysis based on laboratory expectations. Heterozygote peak heights were not utilized for calculations. Based on values, an injection time/voltage of 24 sec/1.2 kV was selected for future studies. DNA target was further analyzed in the sensitivity study.

| DYE | AVERAGE | STDEV | MIN | MAX | Lowest Trough | AT |
|--------|---------|-------|-----|-----|---------------|-----|
| BLUE | 5.07 | 3.65 | 1 | 53 | 1 | 104 |
| GREEN | 5.58 | 2.13 | 1 | 43 | 1 | 84 |
| YELLOW | 4.40 | 1.76 | 1 | 39 | 1 | 76 |

Figure 2: Calculated analytical threshold for each dye channel. The average “noise” peak height, standard deviation of these heights, and min and maximum peak heights also used for calculations.

| | Stochastic Threshold |
|----------|----------------------|
| All Dyes | 323.82 |
| Blue | 277.70 |
| Green | 352.17 |
| Yellow | 349.72 |

Figure 3: Calculated stochastic threshold for combined dyes and each dye. This calculation utilized the peak height ratios and the peak height ratio standard deviations from the target study, as well as the analytical threshold value (125 RFU).

| PowerPlex 16 HS | 1.5 | | | 1.25 | | | 1 | | | 0.75 | | | 0.5 | | | 0.25 | | | 0.125 | | |
|-------------------------|--------|---------|--------|--------|---------|---------|--------|---------|---------|--------|--------|----------|--------|--------|---------|--------|--------|--------|--------|--------|---------|
| | Peak 1 | Peak 2 | Ratio | Peak 1 | Peak 2 | Ratio | Peak 1 | Peak 2 | Ratio | Peak 1 | Peak 2 | Ratio | Peak 1 | Peak 2 | Ratio | Peak 1 | Peak 2 | Ratio | Peak 1 | Peak 2 | Ratio |
| D3S1358 | 9109 | 11652 | 0.7818 | 13150 | 15313 | 0.85875 | 9604 | 9363 | 0.97491 | 7075 | 7300 | 0.969178 | 2747 | 4176 | 0.65781 | 1815 | 2490 | 0.7289 | 414 | 580 | 0.71379 |
| | 8570 | 10830 | 0.7913 | 9922 | 11585 | 0.85645 | 10765 | 10480 | 0.97353 | 7151 | 7331 | 0.975447 | 2767 | 4244 | 0.65198 | 1785 | 2477 | 0.7206 | 389 | 532 | 0.7312 |
| | 7698 | 9796 | 0.7858 | 12362 | 14559 | 0.8491 | 10285 | 10002 | 0.97248 | 6143 | 6460 | 0.950929 | 2793 | 4228 | 0.6606 | 1871 | 2621 | 0.7138 | 383 | 527 | 0.72676 |
| TH01 | 16528 | 15096 | 0.9134 | 19385 | 20031 | 0.96775 | 16013 | 11234 | 0.70155 | 10157 | 11273 | 0.901002 | 6934 | 7222 | 0.96012 | 3552 | 3677 | 0.966 | 1094 | 1013 | 0.92596 |
| | 15843 | 14395 | 0.9086 | 14667 | 15164 | 0.96723 | 17951 | 12772 | 0.71149 | 10120 | 11251 | 0.899476 | 6957 | 7370 | 0.94396 | 3559 | 3744 | 0.9506 | 1052 | 933 | 0.88688 |
| | 14372 | 12979 | 0.9031 | 18699 | 19289 | 0.96941 | 17376 | 12213 | 0.70287 | 8971 | 10018 | 0.895488 | 7033 | 7399 | 0.95053 | 3728 | 3856 | 0.9668 | 1036 | 919 | 0.88707 |
| D21S11 | 10848 | 10584 | 0.9757 | 10889 | 10803 | 0.9921 | 9140 | 6841 | 0.74847 | 6198 | 6495 | 0.954273 | 3644 | 2904 | 0.79693 | 1842 | 1980 | 0.9303 | 214.48 | 228.69 | 0.93786 |
| | 10193 | 10203 | 0.999 | 8162 | 8069 | 0.98861 | 10359 | 7845 | 0.75731 | 6181 | 6506 | 0.950046 | 3699 | 3008 | 0.81319 | 1849 | 1977 | 0.9353 | 214.52 | 228.69 | 0.93804 |
| | 9327 | 9090 | 0.9746 | 10573 | 10425 | 0.986 | 9842 | 7352 | 0.747 | 5407 | 5734 | 0.942972 | 3717 | 3015 | 0.81114 | 1924 | 2072 | 0.9286 | 214.53 | 228.7 | 0.93804 |
| D18S51 | 14762 | 14355 | 0.9724 | 24318 | 18706 | 0.76922 | 12201 | 11645 | 0.95443 | 6358 | 10240 | 0.620898 | 4349 | 4052 | 0.93171 | 3022 | 1686 | 0.5579 | 1083 | 980 | 0.90489 |
| | 14201 | 13807 | 0.9723 | 17683 | 13567 | 0.76723 | 13749 | 13498 | 0.98174 | 6403 | 10286 | 0.622497 | 4493 | 4136 | 0.92054 | 3083 | 1709 | 0.5543 | 997 | 909 | 0.91174 |
| | 12915 | 12543 | 0.9712 | 23169 | 17690 | 0.76352 | 12975 | 12573 | 0.96902 | 5620 | 9018 | 0.623198 | 4562 | 4236 | 0.92854 | 3219 | 1779 | 0.5527 | 1005 | 904 | 0.8995 |
| Penta_E | 12898 | 10446 | 0.8099 | 15129 | 11876 | 0.78498 | 12545 | 8414 | 0.67071 | 9506 | 5058 | 0.532085 | 4580 | 5078 | 0.90193 | 2535 | 3231 | 0.8866 | 1581 | 377 | 0.23846 |
| | 12478 | 10159 | 0.8142 | 10868 | 8462 | 0.77862 | 14232 | 9467 | 0.66519 | 9624 | 5116 | 0.531588 | 4719 | 5201 | 0.90733 | 2611 | 3288 | 0.7941 | 1456 | 366 | 0.25137 |
| | 11139 | 9103 | 0.8172 | 14491 | 11304 | 0.78007 | 13316 | 8832 | 0.66326 | 8452 | 4404 | 0.52106 | 4837 | 5347 | 0.90462 | 2740 | 3454 | 0.7933 | 1443 | 361 | 0.25017 |
| D5S818 | 21491 | 10745.5 | | 28378 | 14189 | | 18972 | 9486 | | 14148 | 7074 | | 7846 | 3923 | | 3393 | 1696.5 | | 1749 | 874.5 | |
| | 20635 | 10317.5 | | 21694 | 10847 | | 21009 | 10504.5 | | 14182 | 7091 | | 7892 | 3946 | | 3390 | 1695 | | 1646 | 823 | |
| | 18030 | 9015 | | 27030 | 13515 | | 20167 | 10083.5 | | 12261 | 6130.5 | | 7764 | 3882 | | 3540 | 1770 | | 1650 | 825 | |
| D13S317 | 19778 | 9889 | | 21163 | 10581.5 | | 14407 | 7203.5 | | 10822 | 5411 | | 7825 | 3912.5 | | 3759 | 1879.5 | | 1600 | 800 | |
| | 19315 | 9657.5 | | 16055 | 8027.5 | | 16526 | 8263 | | 10687 | 5343.5 | | 7963 | 3981.5 | | 3857 | 1928.5 | | 1470 | 735 | |
| | 16633 | 8316.5 | | 19922 | 9961 | | 15676 | 7838 | | 9318 | 4659 | | 7935 | 3967.5 | | 3976 | 1988 | | 1494 | 747 | |
| D7S820 | 10007 | 10251 | 0.9762 | 12914 | 15137 | 0.85314 | 6782 | 7333 | 0.92486 | 6261 | 6520 | 0.960276 | 2437 | 3166 | 0.76974 | 1527 | 1766 | 0.8647 | 883 | 1092 | 0.80861 |
| | 9564 | 9977 | 0.9586 | 9717 | 11376 | 0.85417 | 7735 | 8275 | 0.93474 | 6161 | 6415 | 0.960405 | 2478 | 3204 | 0.77341 | 1597 | 1808 | 0.8833 | 810 | 1030 | 0.78641 |
| | 8426 | 8645 | 0.9747 | 12284 | 14474 | 0.84869 | 7275 | 7850 | 0.92675 | 5415 | 5621 | 0.963352 | 2492 | 3262 | 0.76395 | 1674 | 1845 | 0.9073 | 832 | 1028 | 0.80934 |
| D16S539 | 11136 | 10216 | 0.9174 | 13838 | 12898 | 0.93207 | 10172 | 9057 | 0.89039 | 7149 | 6069 | 0.84893 | 4894 | 5006 | 0.97763 | 1999 | 1800 | 0.9075 | 697 | 806 | 0.86476 |
| | 10946 | 9962 | 0.9101 | 10218 | 9524 | 0.93208 | 11637 | 10378 | 0.89181 | 7248 | 6095 | 0.840922 | 4976 | 5124 | 0.97112 | 2082 | 1846 | 0.8866 | 627 | 744 | 0.84274 |
| | 9607 | 8712 | 0.9068 | 13226 | 12288 | 0.92908 | 10819 | 9677 | 0.89444 | 6240 | 5283 | 0.846635 | 4975 | 5167 | 0.96284 | 2171 | 1946 | 0.8964 | 639 | 754 | 0.84748 |
| CSF1PO | 8674 | 10231 | 0.8478 | 13233 | 11419 | 0.86292 | 8086 | 7805 | 0.96525 | 7016 | 6341 | 0.903791 | 4302 | 2566 | 0.59647 | 2611 | 1107 | 0.424 | 810 | 664 | 0.81975 |
| | 8523 | 9988 | 0.8533 | 9659 | 8264 | 0.85558 | 9312 | 8905 | 0.95629 | 7074 | 6389 | 0.903167 | 4411 | 2633 | 0.59692 | 2670 | 1154 | 0.4322 | 739 | 611 | 0.82679 |
| | 7535 | 8862 | 0.8503 | 12606 | 10935 | 0.86744 | 8654 | 8240 | 0.95216 | 6086 | 5554 | 0.912586 | 4483 | 2653 | 0.59179 | 2796 | 1194 | 0.427 | 755 | 642 | 0.85033 |
| Penta_D | 14020 | 10879 | 0.776 | 16420 | 17330 | 0.94749 | 12216 | 11940 | 0.97741 | 8403 | 6921 | 0.823634 | 4297 | 4730 | 0.90846 | 2241 | 2047 | 0.9134 | 807 | 1519 | 0.53127 |
| | 13818 | 10676 | 0.7726 | 11903 | 12577 | 0.94641 | 13831 | 13578 | 0.98171 | 8492 | 6915 | 0.814296 | 4376 | 4835 | 0.90507 | 2293 | 2107 | 0.9189 | 736 | 1399 | 0.52609 |
| | 12165 | 9432 | 0.7753 | 15731 | 16631 | 0.94588 | 12839 | 12449 | 0.96962 | 7298 | 5917 | 0.81077 | 4477 | 4922 | 0.90959 | 2387 | 2221 | 0.9305 | 741 | 1418 | 0.52257 |
| AMEL | 12123 | 12673 | 0.9566 | 10944 | 15231 | 0.71853 | 10468 | 8761 | 0.83693 | 6593 | 8459 | 0.779407 | 3624 | 5311 | 0.68236 | 2534 | 2359 | 0.9309 | 252 | 768 | 0.32813 |
| | 11424 | 11753 | 0.972 | 10340 | 14546 | 0.71085 | 11773 | 9893 | 0.84031 | 6270 | 8101 | 0.773979 | 3697 | 5326 | 0.69414 | 2591 | 2429 | 0.9375 | 279 | 797 | 0.35006 |
| | 8615 | 8965 | 0.961 | 10548 | 14683 | 0.71838 | 12284 | 10366 | 0.84386 | 6299 | 8111 | 0.7766 | 3610 | 5335 | 0.67666 | 2621 | 2425 | 0.9252 | 259 | 763 | 0.33945 |
| vWA | 16483 | 14324 | 0.869 | 15201 | 12309 | 0.80975 | 9782 | 8266 | 0.84502 | 8541 | 7561 | 0.885259 | 5061 | 3914 | 0.77336 | 1314 | 2059 | 0.6382 | 1002 | 508 | 0.50699 |
| | 15545 | 13438 | 0.8645 | 14558 | 11770 | 0.80849 | 11022 | 9455 | 0.85783 | 8183 | 7216 | 0.881828 | 5034 | 3862 | 0.76718 | 1334 | 2129 | 0.6266 | 1052 | 532 | 0.5057 |
| | 11586 | 10046 | 0.8671 | 14754 | 11972 | 0.81144 | 11692 | 9923 | 0.8487 | 8189 | 7177 | 0.87642 | 5072 | 3900 | 0.76893 | 1379 | 2132 | 0.6468 | 988 | 512 | 0.51822 |
| D8S1179 | 31639 | 15819.5 | | 32214 | 16107 | | 21133 | 10566.5 | | 17107 | 8553.5 | | 9315 | 4657.5 | | 3088 | 1544 | | 2655 | 1327.5 | |
| | 31421 | 15710.5 | | 32022 | 16011 | | 24701 | 12350.5 | | 16399 | 8199.5 | | 9519 | 4759.5 | | 3249 | 1624.5 | | 2807 | 1403.5 | |
| | 24042 | 12021 | | 32102 | 16051 | | 25558 | 12779 | | 16607 | 8303.5 | | 9499 | 4749.5 | | 3261 | 1630.5 | | 2625 | 1312.5 | |
| TPOX | 9836 | 14117 | 0.6967 | 13851 | 13275 | 0.95841 | 11114 | 8959 | 0.8061 | 7485 | 7038 | 0.940281 | 5981 | 4384 | 0.73299 | 2163 | 3174 | 0.6815 | 1412 | 1862 | 0.75832 |
| | 9547 | 13616 | 0.7012 | 13174 | 12489 | 0.948 | 12533 | 10222 | 0.81561 | 7011 | 6716 | 0.957923 | 5941 | 4356 | 0.73321 | 2288 | 3353 | 0.6824 | 1494 | 1938 | 0.7709 |
| | 7232 | 10311 | 0.7014 | 13658 | 12980 | 0.95036 | 13064 | 10509 | 0.80442 | 7213 | 6829 | 0.946763 | 5972 | 4428 | 0.74146 | 2281 | 3323 | 0.6864 | 1374 | 1811 | 0.7587 |
| FGA | 11588 | 9835 | 0.8487 | 12188 | 10666 | 0.87512 | 7414 | 5406 | 0.72916 | 5338 | 4715 | 0.88329 | 2554 | 3168 | 0.80619 | 1458 | 1540 | 0.9468 | 500 | 958 | 0.52192 |
| | 11204 | 9513 | 0.8491 | 11314 | 9790 | 0.8653 | 8444 | 6106 | 0.72312 | 5003 | 4504 | 0.90026 | 2542 | 3182 | 0.79887 | 1562 | 1641 | 0.9519 | 531 | 997 | 0.5326 |
| | 8359 | 7235 | 0.8655 | 11846 | 10436 | 0.88097 | 8698 | 6311 | 0.72557 | 5146 | 4571 | 0.888263 | 2601 | 3234 | 0.80427 | 1558 | 1617 | 0.9635 | 489 | 926 | 0.52808 |
| Minimum | 7232 | 7235 | 0.697 | 8162 | 8027.5 | 0.711 | 6782 | 5406 | 0.663 | 5003 | 4404 | 0.5211 | 2437 | 2566 | 0.592 | 1314 | 1107 | 0.424 | 214.5 | 228.69 | 0.2385 |
| Maximum | 16528 | 15820 | 0.999 | 24318 | 20031 | 0.992 | 17951 | 13578 | 0.982 | 10157 | 11273 | 0.9754 | 7033 | 7399 | 0.978 | 3728 | 3856 | 0.967 | 1581 | 1938 | 0.938 |
| Average Peak HT Ratio | 0.87 | | | 0.87 | | | 0.85 | | | 0.85 | | | 0.81 | | | 0.79 | | | 0.68 | | |
| Peak Height Ratio StDev | 0.09 | | | 0.08 | | | 0.11 | | | 0.13 | | | 0.12 | | | 0.17 | | | 0.22 | | |
| Average Peak Height | 11138 | | | 13250 | | | | | | | | | | | | | | | | | |

| | 1ng | 0.75ng | 0.50ng | 0.25ng | 0.125ng |
|--------------------|-----|--------|--------|--------|---------|
| Average PHR | .85 | .85 | .81 | .79 | .68 |
| St Dev | .11 | .13 | .12 | .17 | .22 |
| Max | .98 | .98 | .98 | .97 | .94 |
| Min | .66 | .52 | .59 | .42 | .24 |
| Max/Min | .32 | .45 | .38 | .54 | .70 |

Figure 5: Average peak height ratio, standard deviation of peak height ratios, minimum peak height ratio, maximum peak height ratio, and range of peak height ratios for the sensitivity study samples. These ratios were analyzed to determine if the selected sensitivity range provided peak height ratios above 50%.

| 33182 | | | Target: 0.5 ng Amp Date: 6/27/12 Run Date: 6/28/12 | | Target: 0.5 ng Amp Date: 6/28/12 Run Date: 6/29/12 | | Target: 0.5 ng Amp Date: 7/2/12 Run Date: 7/3/12 | | | |
|---------|----------|----------|--|----------|--|----------|--|----------|-----------|-----------|
| | ALLELE 1 | ALLELE 2 | HEIGHT 1 | HEIGHT 2 | HEIGHT 1 | HEIGHT 2 | HEIGHT 1 | HEIGHT 2 | AVERAGE 1 | AVERAGE 2 |
| D3S1358 | 16 | 18 | 6796 | 6232 | 7089 | 7240 | 6909 | 5157 | 6931 | 6210 |
| TH01 | 6 | 9.3 | 12547 | 10389 | 14116 | 13000 | 9536 | 9519 | 12066 | 10969 |
| D21S11 | 30 | 32.2 | 5027 | 4315 | 5466 | 3842 | 4081 | 4324 | 4858 | 4160 |
| D18S51 | 12 | | 15400 | | 13112 | | 12203 | | 13572 | |
| Penta E | 13 | 16 | 5655 | 3991 | 6316 | 4645 | 4883 | 5346 | 5618 | 4661 |
| D5S818 | 12 | | 9802 | | 11384 | | 11345 | | 10844 | |
| D13S317 | 11 | 12 | 4667 | 3870 | 3657 | 4483 | 4279 | 3423 | 4201 | 3925 |
| D7S820 | 10 | 11 | 6152 | 5309 | 4961 | 3875 | 5506 | 4607 | 5540 | 4597 |
| D16S539 | 11 | | 12070 | | 12966 | | 10562 | | 11866 | |
| CSF1PO | 11 | 12 | 5213 | 4595 | 6133 | 5675 | 5048 | 4172 | 5465 | 4814 |
| Penta D | 11 | | 14712 | | 14946 | | 12428 | | 14029 | |
| AMELO | X | Y | 6204 | 6574 | 5010 | 7183 | 5142 | 7165 | 5452 | 6974 |
| vWA | 17 | 19 | 7061 | 5213 | 6107 | 5905 | 4515 | 5222 | 5894 | 5447 |
| D8S1179 | 13 | 15 | 6512 | 8501 | 6415 | 6428 | 4870 | 6905 | 5932 | 7278 |
| TPOX | 8 | 11 | 7818 | 6327 | 6708 | 7465 | 7072 | 6830 | 7199 | 6874 |
| FGA | 20 | 22 | 4155 | 4599 | 5456 | 3850 | 3509 | 3867 | 4373 | 4105 |
| | | | 3870 | 15400 | 3657 | 14946 | 3423 | 12428 | 3423 | 15400 |
| | | | MIN | MAX | MIN | MAX | MIN | MAX | MIN | MAX |

Figure 6: Example of data collected for the reproducibility study consisting of allele calls, peak heights, average peak heights, and total minimum/maximum peak heights for each allele within multiple amplifications and runs on three separate days.

| Locus | Average | Std. Dev. | Min | Max | Stutter Ratio | Promega Stutter Ratio |
|---------|---------|-----------|------|------|---------------|-----------------------|
| D3S1358 | 0.10 | 0.02 | 0.02 | 0.16 | 16% | 13% |
| TH01 | 0.03 | 0.01 | 0.00 | 0.05 | 6% | 6% |
| D21S11 | 0.09 | 0.02 | 0.03 | 0.17 | 16% | 22% |
| D18S51 | 0.09 | 0.03 | 0.04 | 0.18 | 17% | 13% |
| Penta E | 0.04 | 0.02 | 0.01 | 0.11 | 9% | 13% |
| D5S818 | 0.07 | 0.02 | 0.03 | 0.12 | 13% | 11% |
| D13S317 | 0.07 | 0.03 | 0.02 | 0.15 | 15% | 12% |
| D7S820 | 0.06 | 0.02 | 0.02 | 0.11 | 11% | 10% |
| D16S539 | 0.08 | 0.02 | 0.03 | 0.14 | 15% | 13% |
| CSF1PO | 0.06 | 0.02 | 0.02 | 0.09 | 11% | 10% |
| Penta D | 0.02 | 0.02 | 0.01 | 0.10 | 7% | 6% |
| vWA | 0.09 | 0.03 | 0.01 | 0.16 | 16% | 14% |
| D8S1179 | 0.07 | 0.02 | 0.03 | 0.12 | 12% | 11% |
| TPOX | 0.03 | 0.01 | 0.01 | 0.10 | 8% | 6% |
| FGA | 0.09 | 0.02 | 0.05 | 0.17 | 16% | 14% |

Figure 7: Calculated stutter values and Promega recommended stutter values for minus four stutter in PowerPlex[®] 16 HS. Stutter values were calculated using the standard deviation of the peak height ratios between the stutter peak and the parent peak from which the stutter originated within a marker, as well as the average peak height ratio of the stutter peak and parent peak.

| Locus | Average | Std. Dev. | Min | Max | Stutter Ratio |
|---------|---------|-----------|------|------|---------------|
| D3S1358 | 0.02 | 0.00 | 0.01 | 0.03 | 3% |
| TH01 | 0.00 | 0.00 | 0.00 | 0.01 | 1% |
| D21S11 | 0.02 | 0.01 | 0.01 | 0.07 | 5% |
| D18S51 | 0.01 | 0.01 | 0.01 | 0.06 | 5% |
| Penta E | 0.00 | 0.00 | 0.00 | 0.00 | 0% |
| D5S818 | 0.02 | 0.01 | 0.01 | 0.06 | 4% |
| D13S317 | 0.03 | 0.02 | 0.01 | 0.12 | 8% |
| D7S820 | 0.02 | 0.01 | 0.01 | 0.04 | 3% |
| D16S539 | 0.02 | 0.01 | 0.01 | 0.04 | 4% |
| CSF1PO | 0.02 | 0.01 | 0.01 | 0.05 | 6% |
| Penta D | 0.02 | 0.02 | 0.01 | 0.05 | 8% |
| vWA | 0.01 | 0.02 | 0.00 | 0.11 | 6% |
| D8S1179 | 0.01 | 0.00 | 0.01 | 0.02 | 2% |
| TPOX | 0.01 | 0.00 | 0.01 | 0.02 | 2% |
| FGA | 0.03 | 0.02 | 0.01 | 0.09 | 9% |

Figure 8: Calculated stutter values and Promega recommended stutter values for plusfour stutter in PowerPlex® 16 HS. Stutter values were calculated using the standard deviation of the peak height ratios between the stutter peak and the parent peak from which the stutter originated within a marker, as well as the average peak height ratio of the stutter peak and parent peak.

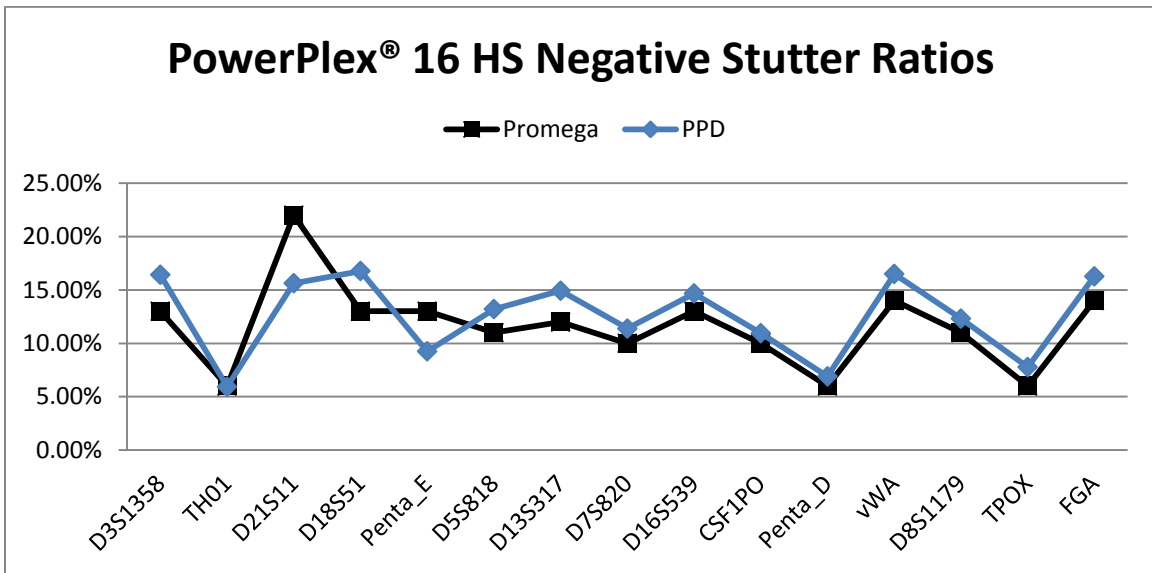


Figure 9: Comparison of calculated stutter values generated for the use of PowerPlex® 16 HS to the Promega recommended stutter values. The use of Promega stutter values for future analysis was selected due to the consistency of generated values to recommended values. Stutter values will be further analyzed with additional samples in a future validation study.

| 35394 : 35386 Ratio | D3S1358 | TH01 | D21S11 | D18S51 | Penta E | D5S818 | D13S317 | D7S820 | D16S539 | CSF1PO | Penta D | AMEL | vWA | D8S1179 | TPOX | FGA |
|---------------------|---------------------|--------------|------------|--------------------|----------------|-------------|---------|---------------------|-------------|----------|--------------|--------|--------------|----------------|-------------|---------------------|
| 1 to 0 | 16,17 | 9,9.3 | 29,30 | 10.2,20 | 5,14 | 13,13 | 11,12 | 11,13 | 11,13 | 12,12 | 13,13 | XY | 15,16 | 15,16 | 8,11 | 23,29 |
| 19 to 1 | (14),(15), 16,17 | (7),9,9.3 | 29,30 | (10.2),20 | 5,14 | 13,13 | 11,12 | (10),11,13 | 11,13 | 12,12 | (11),13 | XY | 15,16 | 15,16 | 8,11,(12) | 23,29 |
| MAJOR COMP | 16,17 | 9,9.3 | 29,30 | 20 | 5,14 | 13,13 | 11,12 | 11,13 | 11,13 | 12,12 | 13,13 | XY | 15,16 | 15,16 | 8,11 | 23,29 |
| 9 to 1 | (14),(15), 16,17 | (7),9,9.3 | (28),29,30 | 10.2,(17),20 | 5,14 | (9),(12),13 | 11,12 | (10),11, (12),13 | (9),11,13 | 12,12 | (11),13 | (X)*.Y | 15,16,(20) | 15,16 | 8,11,(12) | (22),23, (25),29 |
| MAJOR COMP | 16,17 | 9,9.3 | 29,30 | 10.2,20 | 5,14 | 13,13 | 11,12 | 11,13 | 11,13 | 12,12 | 13,13 | XY | 15,16 | 15,16 | 8,11 | 23,29 |
| 4 to 1 | (14),(15), 16,17 | (7),(9),9.3 | 29,30 | 10.2,(17),20 | 5,(9),14 | (9),(12),13 | 11,12 | (8),(10),11, 13 | (9),11,13 | 12,12 | 13,(14) | XY | 15,16,(20) | (14),15,16 | 8,11,(12) | (22),23, (25),29 |
| MAJOR COMP | 16,17 | 9.3 | 29,30 | 10.2,20 | 5,14 | 13,13 | 11,12 | 11,13 | 11,13 | 12,12 | 13,13 | XY | 15,16 | 15,16 | 8,11 | 23,29 |
| 1 to 1 | 14,15,16,17 | (7),(9)*,9.3 | 28,29,30 | 10.2,17,(20) | (5),9,(11),14 | (9),12,13 | 11,12 | 8,10,11,13 | (9),11,13 | 12,(13) | 11,13,14 | XY | (15),16,(20) | (14),15,(16) | 8,11,(12) | 22,23,25, (29) |
| MAJOR COMP | - | 9.3,9.3 | - | - | - | - | 11,12 | - | - | - | - | XY | - | - | - | - |
| 1 to 4 | 14,15,(16), (17) | 7,(9),9.3 | 28,30 | (10.2),17, (20) | (5),9,11, (14) | 9,12,13 | 11,12 | 8,10,(11), (13) | (9),11,(13) | 12,13 | (11),13,14 | XY | (15),16,(20) | (14)*,15, (16) | 8,(11),(12) | 22,(23),25, (29) |
| MAJOR COMP | 14,15 | 7,9.3 | 28,30 | 17,17 | 9,11 | - | 11,12 | 8,10 | 9,11 | 12,13 | - | XY | 16 | - | 8 | 22,25 |
| 1 to 9 | 14,15,(16), (17) | (7),(9),9.3 | 28,30 | (10.2),17 | 9,(11),(14) | 9,12,(13) | 11,12 | (8)*,10,(11) | 9,11,(13) | 12,13 | 11,(13),(14) | XY | (15),16,20 | 14,15 | 8,(11),12 | 22,25 |
| MAJOR COMP | 14,15 | 7,9.3 | 28,30 | 17,17 | 9 | 9,12 | 11,12 | 8,10 | 9,11 | 12,13 | 11 | XY | 16,20 | 14,15 | 8,12 | 22,25 |
| 1 to 19 | 14,15,(16) | 7,9.3 | 28,30 | (10.2),17 | 9,11,(14) | 9,12,(13) | 11,12 | 8,10,(11) | 9,(11)* | 12,(13)* | 11,14 | XY | (15),16,(20) | 14,15 | 8,(11),(12) | 22,25 |
| MAJOR COMP | 14,15 | 7,9.3 | 28,30 | 17,17 | 9,11 | 9,12 | 11,12 | 8,10 | 9,11 | 12,13 | 11,14 | XY | 16 | 14,15 | 8,12 | 22,25 |
| 0 to 1 | 14, 15 | 7, 9.3 | 28, 30 | 17, 17 | 9, 11 | 9, 12 | 11, 12 | 8, 10 | 9, 11 | 12, 13 | 11, 14 | X, Y | 16, 20 | 14, 15 | 8, 12 | 22, 25 |

Figure 10: Allele calls were generated for two contributor mixture samples. Apparent major and minor contributors were designated within each sample. A 4:1 two contributor mixture is the apparent optimal mixture to observe both contributors, above this ratio the major contributor is more apparent and less of the minor contributor or light alleles are detected. (*signifies a peak height ratio between 55-59%)

| Contributors | D3S1358 | TH01 | D21S11 | D18S51 | Penta E | D5S818 | D13S317 | D7S820 | D16S539 | CSF1PO | Penta D | AMEL | vWA | D8S1179 | TPOX | FGA |
|--------------|--------------------------|-----------------------|-----------------------|--|------------------------------|--------------------------|--------------------|---------------------------|--------------------------|-------------------------|-----------------------|------|---------------------------------|-----------------------|----------------------|------------------------------------|
| 3 | 14,15,16 | 7,(8),(9), (9.3) | 28,(29),30, (31.2) | (16),17,(18)*, (21),(23) | 7,(8),(9),11,1 | 8,(9),12,(13) | 8,11, (12),(13) | (8),10,(11), (12),(13) | 8,9,11,12,13 | 7,10,12,(13) | 8,11,13,(14) | XY | 16,(17),18, 19,20 | 12,14,15, (16) | 6,(7),8,(11),1 2 | (21),22,23, (25)*,30 |
| MAJOR COMP | - | 7 | 28,30 | 17 | - | - | 8,11 | 10 | - | - | - | XY | - | - | - | - |
| 5 | (14),(15), 16,(17),18 | (6),(7),8, (9),9.3 | (28),29,(30), 31.2 | (10,2),12, 16,(17),(18), (20),(21) | (5),(7),(9), (14),16,(17) | (8),(9),(11), (12),13 | (8),(11),12 | (8),(10),11, 12,(13) | (8),(9),(11), 12,(13) | (7),10,11, (12),(13) | 9,(11),12, 13,(14) | XY | 15,16,17, (18),(19), (20) | (12),(14), 15,(16) | (6),8,(11)*, (12) | (22),(23), 25,(26)*,29, (30) |
| MAJOR COMP | 16,18 | 8,9.3 | 29,31.2 | 12,16 | 16 | 13 | 12 | 11,12 | 12 | 10,11 | - | XY | - | 15 | 8 | 25,29 |

Figure 11: Allele calls were designated for three and five contributor mixture samples. The three person mixture contained a major allele in TH01, D21S11, D18S51, D13S317, and D7S820 (**Table 10**). Major alleles were detected in each locus of the 5 person contributor except the Penta D and vWA markers. No full major contributor profile could be detected at either the three or five contributor mixture samples. (*signifies a peak height ratio between 55-59%)

| Sample | D3S1358 | TH01 | D21S11 | D18S51 | Penta E | D5S818 | D13S317 | D7S820 | D16S539 | CSF1PO | Penta D | AMEL | vWA | D8S1179 | TPOX | FGA |
|-----------|------------------|---------------------|-------------------------|--|-------------|------------|-----------------|----------------|----------------|----------------|-----------------|-------|-----------------------|----------------|----------------|----------------------------|
| 33153 | 15,18 | 6,8 | 28,31 | 14,14 | 5,7 | 11,13 | 11,11 | 10,11 | 10,11 | 10,10 | 9,13 | XY | 16,19 | 13,14 | 8,8 | 21,21 |
| 33153 | 15,18 | 6,8 | 28,31 | 14,14 | 5,7 | 11,13 | 11,11 | 10,11 | 10,11 | 10,10 | 9,13 | XY | 16,19 | 13,14 | 8,8 | 21,21 |
| 33153-.01 | 15,(18) | 6,(8) | 28,(31) | 14,14 | NR | 11,13 | 11 | 10,11 | (10),11 | 10 | 9,(13) | XY | (16),19 | 14 | 8,8 | 21,21 |
| 33153-.01 | 15,(18) | 6,(8) | 28,(31) | 14,14 | NR | 11,13 | 11,11 | 10,11 | (10),11 | 10 | 9,(13) | XY | (16),19 | 14 | 8,8 | 21,21 |
| 33153-.02 | 15,18 | 6,(8) | 28,31 | 14,14 | 5,7 | 11,13 | 11,11 | 10,11 | 10,11 | 10,10 | 9,13 | (X),Y | 16,19 | 13,14 | 8,8 | 21,21 |
| 33153-.02 | 15,18 | 6,(8) | 28,31 | 14,14 | 5,7 | 11,13 | 11,11 | 10,(11) | 10,11 | 10,10 | 9,13 | XY | 16,19 | 13,14 | 8,8 | 21,21 |
| 33158 | 15,16 | 6,9,3 | 29,30 | 17,18 | 12,18 | 11,12 | 8,10 | 12,12 | 9,11 | 11,12 | 9,10 | XX | 15,18 | 13,13 | 8,11 | 21,23 |
| 33158 | 15,16 | 6,9,3 | 29,30 | 17,18 | 12,18 | 11,12 | 8,10 | 12,12 | 9,11 | 11,12 | 9,10 | XX | 15,18 | 13,13 | 8,11 | 21,23 |
| 33534 | (16),17 | 6,7 | 28,30,(32,2) | (14),(15), (16),18, (19),(20),21 | (8),11,13 | (11),12,13 | (9),11,12 | 10,(12) | 11,12 | (7),8,(10), 12 | (2,2),12 | XY | (15),(16), 18,19 | 13,14 | 8,9 | (22),(23), 24,25 |
| 33534 | (16),17 | 6,7 | 28,30,(32,2) | (16),18, (19),21 | (8),11,13 | (11),12,13 | 11,12 | 10,(11),(12) | 11,12 | (7),8,(10), 12 | (2,2),12 | XY | (15),(16), 18,19 | 13,14,(19) | 8,9, (12),(13) | (17,2),(22), (23),24,25 |
| 33535 | 16,17 | 6,7 | 28,32,2 | 14,16 | 10,11 | 11,11 | 9,13 | 11,12 | 8,11 | 12,13 | 10,12 | XX | 15,15 | 10,14 | 8,10 | 22,24 |
| 33535 | 16,17 | 6,7 | 28,32,2 | 14,16 | 10,11 | 11,11 | 9,13 | 11,12 | 8,11 | 12,13 | 10,12 | XX | 15,15 | 10,14 | 8,10 | 22,24 |
| 33536 | 16,17 | 6,7 | 28,(29), (30),(32,2) | 15,18,19, (20),21 | 8,11,12,13 | 11,12,13 | (11),12 | 10,(11),(12) | 9,10,11,12 | 7,8,10,12,(13) | 2,2,11,12 | XY | (15),(16), 18,(19) | (10),(13), 14 | 8,(9),(10) | 23,24,(25) |
| 33536 | 16,17 | 6,7 | 28,(29), (30),(32,2) | 15,18,19, (20),21 | 8,11,12,13 | 11,12,13 | (9),(11),12 | 10,(11),(12) | 9,10,(11),(12) | 7,8,10,12,(13) | 2,2,(10),11, 12 | XY | (15),(16), 18,(19) | (10),(13), 14 | 8,(9),(10) | 23,24,(25) |
| 33537 | 16,17 | 6,7 | 28,32,2 | 14,16 | 10,11 | 11,11 | 9,13 | 11,12 | 8,11 | 12,13 | 10,12 | XX | 15,15 | 10,14 | 8,10 | 22,24 |
| 33537 | 16,17 | 6,7 | 28,32,2 | 14,16 | 10,11 | 11,11 | 9,13 | 11,12 | 8,11 | 12,13 | 10,12 | XX | 15,15 | 10,14 | 8,10 | 22,24 |
| 33879 | 15,16 | (5),7,9 | 28,33,2 | 14,16 | 7,11 | 12,13 | 10,12 | 10,11 | 12,12 | 8,11 | 10,11 | XY | 17,17 | 13,15 | 8,9,(13) | (16),18, (24),(25),26 |
| 33879 | 15,16 | 7,9 | 28,33,2 | 14,16 | 7,11 | 12,13 | 10,12 | (7),10,11,(12) | 12,12 | 8,11 | 10,11 | XY | 17,17 | 13,15 | 8,9,(13) | 18,(20,2), (24),(25),26 |
| 34318 | 15,18 | 6,7 | 30,31,2 | 16,18 | 7,(17),(18) | 11,13 | 8,13 | 10,11 | 9,10 | 8,10 | 5,12 | XY | 16,19 | (9),13,14,(16) | 12,12 | (20),22 |
| 34318 | 15,18 | 6,7 | 30,31,2 | 16,18 | 7,(17),(18) | 11,13 | 8,13 | (9),10,11 | 9,10 | 8,10 | 5,12 | XY | 16,(18),19 | 13,14,(16) | (10),(11),12 | 22,22 |
| 34347 | 14,14 | 7,7 | 27,32,2 | 12,14 | 11 | 11,11 | 11,12 | 10,14 | 11,12 | NR | 10 | XY | 17,(19) | 11,13 | 8,9 | 23 |
| 34347 | 14,14 | 7,7 | 27,32,2 | 12,14 | 11 | 11,11 | 11,12 | 10,14 | 11,12 | 11 | 10 | XY | 17,19 | 11,13 | 8,9 | 23 |
| 34571 | 15,16 | 7,7 | 30,30 | 12,18 | 11,(17) | 12,12 | 8,9 | 9,10 | 9,(12),(13) | 9,11 | 10,14 | XX | 14,18 | 11,13 | 8,8 | 23,25 |
| 34571 | 15,16 | (5),7 | 30,30 | 12,18 | 11,(17) | 12,12 | 8,9 | 9,10 | 9,(12),(13) | 9,11 | 10,14 | XX | 14,18 | 11,13 | 8,8 | 23,25 |
| 34572 | 15,16 | 7,7 | 30,30 | 12,18 | 11,17 | 12,12 | 8,9 | 9,10 | 9,13 | 9,11 | 10,14 | XX | 14,18 | 11,(13) | 8,8 | 23,25 |
| 34572 | 15,16 | 7,7 | 30,30 | 12,18 | 11,17 | 12,12 | 8,9 | 9,10 | 9,13 | 9,11 | 10,14 | XX | 14,18 | 11,(13) | 8,8 | 23,25 |
| 34573 | 15,16 | 7,(9) | 30,30 | 12,18 | 11,17 | 12,12 | 8,9 | 9,10 | 9,13 | 9,11 | 10,14 | XX | 14,18 | 11,13 | 8,8 | 23,25 |
| 34573 | 15,16 | 7,7 | 30,30 | 12,18 | 11,17 | 12,12 | 8,9 | 9,10 | 9,13 | 9,11 | 10,14 | XX | 14,18 | 11,13 | 8,8 | 23,25 |
| 34574 | 14,15,(16), (17) | 6,8,(9,3) | 28,(30), (32,2),35 | 15,19 | (8),12,15 | 11,11 | 11,12 | 8,10 | 10,11,(12) | 10,11,(12) | 2,2,(12),14 | XY | (16),17,19 | 14,15 | 8,9,(11) | 22,24 |
| 34574 | 14,15,(16), (17) | 6,8,(9,3) | 28,(30), (32,2),35 | 15,19 | (8),12,15 | 11,11 | 11,12 | 8,10 | 10,11,(12) | 10,11,(12) | 2,2,(12),14 | XY | (16),17,19 | 14,15 | 8,9,(11) | 22,24 |
| 35851 | 14,17 | 6,8 | 28,29 | 16,18 | 9,10 | 11,13 | 12,12 | 10,12 | 9,10 | 12,13 | 8,11 | XY | 15,18 | 13,13 | 11,11 | 21,22 |
| 35851 | 14,17 | 6,8 | 28,29 | 16,18 | 9,10 | 11,13 | 12,12 | 10,12 | 9,10 | 12,13 | 8,11 | XY | 15,18 | 13,13 | 11,11 | 21,22 |
| 35852 | 14,17 | 6,8 | 28,29 | 16,18 | 9,10 | 11,13 | 12,12 | (7),10,12 | 9,10 | 12,13 | 8,11 | XY | 15,18 | (12),13 | 11,11 | 21,22 |
| 35852 | 14,17 | 6,8 | 28,29 | 16,18 | 9,10 | 11,13 | 12,12 | 10,12 | (5),9,10 | 12,13 | 8,11 | XY | 15,18 | 13,13 | 11,11 | 21,22 |
| 36244 | 14,(15),16, (18) | (6),(8),9, (9,3) | (28),30,32,2 | 16,(17),20 | 11,(15),19 | 10,11,(12) | 8,12,(13), (14) | 8,10,(12) | 11,13 | (10),12 | 12,13 | XY | 16,17,(19) | 12,13 | 8,10 | 20,21,(23) |
| 36244 | 14,(15),16, (18) | (6),(8),9, (9,3) | (28),30,32,2 | 16,(17),20 | 11,(15),19 | 10,11,(12) | 8,12,(13), (14) | 8,10 | 11,13 | 12,12 | 12,13 | XY | 16,17,(19) | 12,13 | 8,10 | 20,(21),(23) |

Figure 12: Allele calls were made based on the analysis of the non-probative casework samples. These samples consisted of a variety of substrates an extraction methods typically encountered at the PPD. Major and minor contributors were designated and results were compared to data previously generated from the used sample extracts.